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Page 1 of 1

## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/766,278	01/19/2001	Charles A. Jennings	389176

CONFIRMATION NO. 9674

## FORMALITIES LETTER



\*OC000000005822452\*

James M. Stipek  
Suite 2800  
2345 Grand Boulevard  
Kansas City, MO 64108

Date Mailed: 03/05/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 65.

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*A copy of this notice **MUST** be returned with the reply.*

  
Customer Service Center  
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

04/19/2001 AGOITOM 00000055 09766278

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65.00 OP



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**PATENT**  
Atty Docket No. 389176  
Express Mail Label No. EL 578977398US

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant(s): Jennings, et al.

Serial No.: 09/766,278

Filed: January 19, 2001

For: System and Method for Managing Media

Group No.: 2152

Examiner:

**Box Missing Parts**  
**Commissioner for Patents**  
**Washington, D.C. 20231**

**TRANSMITTAL**

Attached please find the following:

- ☒ A copy of the Notice to File Missing Parts of Nonprovisional Application
- ☒ Combined Declaration and Power of Attorney
- ☒ Recordation Form Cover Sheet
- ☒ Assignment
- ☒ Power of Attorney By Assignee of Entire Interest and Certificate of Assignee Under 37 C.F.R. 3.73(b) (with a copy of the assignment)
- ☒ Check in the amount of \$40.00 (Assignment Recordation Fee); and check in the amount of \$65.00 for the Missing Parts Surcharge
- ☒ The Commissioner is hereby authorized to charge any additional fees which may be required to Deposit Account No. 12-0600.

A duplicate of this request is attached.

**CERTIFICATE UNDER 37 C.F.R. § 1.8 and § 1.10**

I hereby certify that, on the date shown below, this correspondence is being

- ☒ deposited with the United States Postal Service in an envelope addressed to Box Missing Parts, Commissioner for Patents, Washington, D.C. 20231 with sufficient postage:

**MAILING**

- ☐ 37 C.F.R. § 1.8  
as first class mail.

- ☒ 37 C.F.R. § 1.10  
as "Express Mail Post Office to Addressee"  
EXPRESS MAIL NO. EL578977398US

**FACSIMILE TRANSMISSION**

- ☐ transmitted by facsimile to the Patent and Trademark Office.

Wendy Precht  
Name of Depositor

  
Signature

April 16, 2001  
Date

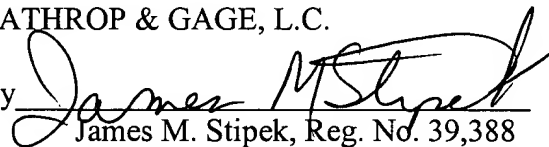
**PATENT**

Atty Docket No. 389176  
Express Mail Label No. EL 578977398US

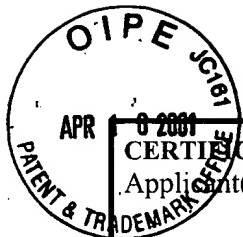
Respectfully submitted,

LATHROP & GAGE, L.C.

By

A handwritten signature in cursive script, appearing to read "James M. Stipek", written over a horizontal line.

James M. Stipek, Reg. No. 39,388  
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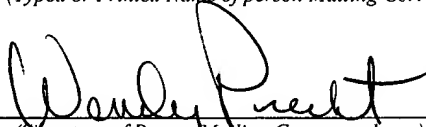
CERTIFICATE OF MAILING BY "EXPRESS MAIL" (37 CFR 1.10) Applicant(s): Jennings, et al.			Matter No.  389176
Serial No.  09/766,278	Filing Date  January 19, 2001	Examiner  Not Yet Assigned	Group No.  2152

Invention: System and Method for Specification and Exchange Management

I hereby certify that a Transmittal (2 pages, in duplicate); a copy of the Notice to File Missing Parts of Nonprovisional Application (PTO Notice) (1 page); a Combined Declaration and Power of Attorney (5 pages); a Recordation Form Cover Sheet (1 page); an Assignment (4 pages); a Power of Attorney By Assignee of Entire Interest and Certificate of Assignee Under 37 C.F.R. 3.73(b) (2 pages, with a copy of the assignment attached); a check in the amount of \$105.00 (total of \$40.00 for the Assignment Recordation Fee and \$65.00 for the missing parts surcharge); authorization to charge any additional fees which may be required, or credit any overpayment, to Deposit Account No. 12-0600; a Certificate of Mailing with the United States Postal Service, "Express Mail Post Office to Addressee," Express Mail No. EL578977398US; and a pre-addressed postcard are being mailed in an envelope addressed to: Box Missing Parts, Commissioner for Patents, Washington, D.C. 20231 on this 16th day of April, 2001.

Wendy Precht

*(Typed or Printed Name of person Mailing Correspondence)*



*(Signature of Person Mailing Correspondence)*

Express Mail No. EL578977398US

*("Express Mail" Mailing Label Number)*